



13281 USPTO

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No. 111325-127 First Inventor Mark J. STEFIK et al. Title SYSTEM FOR CONTROLLING THE DISTRIBUTION AND USE OF DIGITAL WORKS UTILIZING DIGITAL TICKETS Express Mail Label No. 17548 U.S.P. 10/773306	
APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		Mail Stop Patent Application Commissioner for Patents ADDRESS TO: P.O. Box 1450 Alexandria, VA 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i> 3. <input checked="" type="checkbox"/> Specification [Total Pages 132] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 13] 5. Oath or Declaration [Total Pages 2] <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies 	
ACCOMPANYING APPLICATION PARTS			
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of <i>(when there is an assignee)</i> Attorney 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: _____			
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input checked="" type="checkbox"/> Continuation of prior Application No: 09/777,966 filed February 7, 2001, which is a Divisional of Application No. 08/967,084 filed November 10, 1997, now U.S. Patent 6,236,971; which is a Continuation of Application 08/344,760 filed November 23, 1994, abandoned.			
Prior application information:		Examiner: Firmin Backer Group / Art Unit: 3621	
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
19. CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		22204 <i>(Insert Customer No. or Attach bar code label here)</i>	
or <input type="checkbox"/> Correspondence address below			
Name _____ Address _____ City _____ State _____ Zip Code _____ Country _____ Telephone _____ Fax _____			
Name (Print/Type)		Marc S. Kaufman	
Signature		Registration No. (Attorney/Agent) 35,212 Date February 9, 2004	

13281
U.S.PTOFEE TRANSMITTAL
FOR FY 2003

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$1,456.00

Complete if Known

Application Number	New Application
Filing Date	February 9, 2004
First Named Inventor	Mark J. STEFIK et al.
Examiner Name	Firmin Backer
Art Unit	3621
Attorney Docket No.	111325-127

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Other None Order
 Deposit Account:

Deposit Account Number

19-2380 (111325-127)

Deposit Account Name

Nixon Peabody LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	(\\$)	(\\$)
1001	770	2001	385
1002	340	2002	170
1003	530	2003	265
1004	770	2004	385
1005	160	2005	80
SUBTOTAL (1)		\$770.00	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	39	-20** = 19	X \$18 = \$342.00
Independent Claims	7	-3** = 4	X \$86 = \$344.00
Multiple Dependent		X \$290	=

Large Entity	Small Entity	Fee Description		
Fee Code	Fee Code	(\\$)		
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		\$686.00		

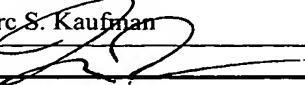
**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	(\\$)
1051	130	2051 65
1052	50	2052 25
1053	130	1053 130
1812	2,520	1812 2,520
1804	920*	1804 920*
1805	1,840*	1805 1,840*
1251	110	2251 55
1252	420	2252 210
1253	950	2253 475
1254	1,480	2254 740
1255	2,010	2255 1,005
1401	330	2401 165
1402	330	2402 165
1403	290	2403 145
1451	1,510	1451 1,510
1452	110	2452 55
1453	1,330	2453 665
1501	1,330	2501 665
1502	480	2502 240
1503	640	2503 320
1460	130	1460 130
1807	50	1807 50
1806	180	1806 180
8021	40	8021 40
1809	770	2809 385
1810	770	2810 385
1801	770	2801 385
1802	900	1802 900
Other fee (specify) _____		

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Marc S. Kaufman	Registration No. (Attorney/Agent)	35,212
Signature		Telephone	(202) 585-8000
		Date	February 9, 2004